

Series 1

1. Let $(V, \|\cdot\|)$ be a normed vector space over \mathbb{C} and define for a linear operator $A \in \text{Hom}(V, V)$

$$\|A\|_{op} := \sup_{v \in V \setminus \{0\}} \frac{\|Av\|}{\|v\|} \in [0, \infty) \cup \{\infty\}.$$

Let $\mathcal{L}(V) = \{A \in \text{Hom}(V, V) \mid \|A\|_{op} < \infty\}$, i.e. $(\mathcal{L}(V), \|\cdot\|_{op})$ is again a normed vector space. We assume $(V, \|\cdot\|)$ to be complete. One can show that then $(\mathcal{L}(V), \|\cdot\|_{op})$ is complete, too.

- (a) Show $\|A\|_{op} = \sup_{\|v\|=1} \|Av\|$ and $\|A \cdot B\|_{op} \leq \|A\|_{op} \cdot \|B\|_{op}$ f.a. $A, B \in \mathcal{L}(V)$, where $A \cdot B$ denotes the composition of operators. (1 pt)
- (b) Show that if $\|A\|_{op} < 1$, then $\mathbf{1} - A$ is invertible, and that $A + B$ is invertible if A is invertible and $\|B\| < \|A^{-1}\|^{-1}$. (2 pts)
- (c) Show that in general $\|A^{-1}\| \neq \|A\|^{-1}$. (1 pt)

Background: If $\sum_{n=0}^{\infty} a_n r^n$ is a convergent series for $r > 0$ and $(a_n)_{n \in \mathbb{N}} \in \mathbb{C}$ and if $\|A\| \leq r$, then $\sum_{n=0}^{\infty} a_n A^n$ converges in $(\mathcal{L}(V), \|\cdot\|_{op})$.

Proof: Since $(\mathcal{L}(V), \|\cdot\|_{op})$ is a complete normed space, it suffices to show that $(\sum_{n=0}^N a_n A^n)_{N \in \mathbb{N}}$ is a Cauchy sequence. But this follows from the convergence from $(\sum_{n=0}^N a_n r^n)_{N \in \mathbb{N}}$ as $\|\sum_{n=m}^{m+k} a_n A^n\| \leq \sum_{n=m}^{m+k} a_n r^n \rightarrow 0$ for $m \rightarrow \infty$.

2. Let X be a topological space. Then a subset $A \subset X$ is called **dense** if for all $x \in X$ and $U \subset X$ open with $x \in U$ we have $U \cap A \neq \emptyset$.

- (a) Show that $GL(n, \mathbb{R}) \subset M(n \times n, \mathbb{R})$ is an open and dense subset. (2 pts)
- (b) Let $f: M(n \times n, \mathbb{R}) \rightarrow \mathbb{R}$ be a continuous map. Show

$$\begin{aligned} f(CAC^{-1}) &= f(A) && \text{f.a. } A \in M(n \times n, \mathbb{R}), C \in GL(n, \mathbb{R}) \\ \Leftrightarrow f(AB) &= f(BA) && \text{f.a. } A, B \in M(n \times n, \mathbb{R}), \end{aligned}$$

and that $\text{tr}(CAC^{-1}) = \text{tr}(A)$ for all $A \in M(n \times n, \mathbb{R}), C \in GL(n, \mathbb{R})$. (1 pt)

- (c) Show that $O(n, \mathbb{R})$ is a compact space. (1 pt)

3. A topological space X is called **path-connected** if for any two points $x, y \in X$ there exists a continuous path $\gamma: [0, 1] \rightarrow X$ such that $\gamma(0) = x$ and $\gamma(1) = y$.

- (a) Show that if two topological spaces X and Y are homeomorphic $X \simeq Y$, then one of them is path-connected if and only if the other one is too. (1 pt)
- (b) Show that \mathbb{R}^2 and \mathbb{R} are not homeomorphic. (1 pt)

- (c) Consider $S^2 = \{(x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 = 1\}$ and show that $S^2 \setminus \{(0, 0, 1)\}$ and \mathbb{R}^2 are homeomorphic. (1 pt)
- (d) Let $f: [0, 1] \rightarrow [0, 1]$ be a C^1 -function, i.e. continuous, differentiable on $(0, 1)$ and f' continuous on $[0, 1]$. Assume $|f'| < 1$ on $[0, 1]$. Show that f is a contraction and has a unique fixed point in $[0, 1]$. (1 pt)

4. Consider the following map $\gamma: (0, 1) \rightarrow \mathbb{R}^2$, defined piecewise

$$\gamma(t) = \begin{cases} (0, 1 - 6t), & 0 < t \leq \frac{1}{3}, \\ \left(\sin \frac{\pi}{2}(3t - 1), -\cos \frac{\pi}{2}(3t - 1)\right), & \frac{1}{3} \leq t \leq \frac{2}{3}, \\ (3 - 3t, 0), & \frac{2}{3} \leq t < 1, \end{cases}$$

and its image $C = \gamma((0, 1))$. Let C carry the topology induced as subset of \mathbb{R}^2 , i.e. the open subsets of C are the intersections of open subsets of \mathbb{R}^2 with C . Show that $\gamma: (0, 1) \rightarrow C$ is a bijection (1-1 correspondence) and continuous, but that it is not a homeomorphism. (4 pts)

5. **Optional:** Consider the vector space of twice differentiable paths $V = C^2([a, b], \mathbb{R}^n)$ and the function

$$S: V \rightarrow \mathbb{R}, \quad S(\gamma) = \frac{1}{2} \int_a^b |\dot{\gamma}(s)|^2 ds.$$

Show: If all directional derivatives $\partial_v S(\gamma) = 0$ where $v \in V$ with $v(a) = v(b) = 0$, then $\ddot{\gamma} = 0$. (2 pts)
Definition to be covered in class: Let V be a vector space, $f: V \rightarrow \mathbb{R}$ a function. Then the directional derivative of f in the direction $h \in V$ at a point $x \in V$ is defined as

$$\partial_h f(x) := \lim_{t \rightarrow 0} \frac{f(x + th) - f(x)}{t},$$

provided that the limit exists.

Hand-In: Practice Session Wednesday Oct. 23